



03-31-05

3624/5

PATENTS  
CF-55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Carlton Bartels et al.  
Application No. : 09/967,272 Confirmation No. : 6788  
Filed : September 28, 2001  
For : ELECTRONIC TRADING SYSTEM FOR  
SIMULATING THE TRADING OF CARBON  
DIOXIDE EQUIVALENT EMISSION REDUCTIONS  
AND METHODS OF USE  
Group Art Unit : 3624  
Examiner : Sandra S. Snapp

Mail Stop AMENDMENT  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV 625768373 US

Date of Deposit: March 30, 2005

I hereby certify that this certification and the  
following papers and fee:

1. Transmittal Letter (in duplicate);
2. Reply to Office Action; and
3. Check in the amount of \$120.00 (extension fee)

are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 C.F.R.  
§ 1.10 on the date indicated above and are addressed to Mail  
Stop AMENDMENT, Hon. Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

  
Name: Andrew Shine



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TRANSMITTAL LETTER FOR  
REPLY TO OFFICE ACTION

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;  
☒ a Reply to Office Action; ☐ a Substitute Specification;  
☐ an Associate Power of Attorney; ☐ formal drawings; to be  
filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

04/01/2005 SSESHE1 00000020 09967272

01 FC:1251

120.00 DP

Express Mail No.  
EV625768373US

[ ] A fee for additional claims is required.  
The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	*	=	X \$50 = \$ .00
INDEPENDENT CLAIMS	-	**	=	X \$200 = \$ .00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+	\$360 = \$ .00

\* If less than 20, insert 20. TOTAL \$ .00  
\*\* If less than 3, insert 3.

[ ] As a result of the amendment submitted herewith, this application now includes excess pages beyond those previously paid for. The number of additional groups of 50 excess pages resulting from this amendment is \_\_\_\_\_ x \$250 = \$\_\_\_\_\_.

[ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

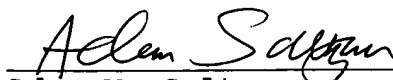
[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- [X] The following extension is applicable to the Response filed herewith; [X] \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [ ] \$2,160.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).
- [X] A check in the amount of [X] \$120.00; [ ] \$450.00; [ ] \$1,020.00; [ ] \$1,590.00; [ ] \$2,160.00 in payment of the extension fee is transmitted herewith.
- [X] Pursuant to 37 C.F.R. § 1.136(a), applicants hereby petition for a [X] one-month extension of time; [ ] two-month extension of time; [ ] three-month extension of time; [ ] four-month extension of time; [ ] five-month extension of time to respond to the Office Action dated November 30, 2004. With the extension, the time for replying is extended up to and including March 30, 2005.
- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge the [ ] \$120.00; [ ] \$450.00;  
[ ] \$1,020.00; [ ] \$1,590.00; [ ] \$2,160.00 extension  
fee to Deposit Account No. 06-1075. A duplicate copy  
of this transmittal letter is transmitted herewith.

Respectfully submitted,



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REPLY TO OFFICE ACTION

Sir:

Responsive to the Office Action mailed  
November 30, 2004, please amend the above-identified patent  
application as follows:

AMENDMENTS TO THE CLAIMS are reflected in the listing  
of claims which begins on page 2 of this Reply.

REMARKS begin on page 7 of this Reply.